



PATENT  
450100-03501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tetsujiro KONDO et al.  
Serial No. : 09/966,704  
Filed : September 28, 2001  
For : AUDIENCE RESPONSE DETERMINATION APPARATUS,  
PLAYBACK OUTPUT CONTROL SYSTEM, AUDIENCE  
RESPONSE DETERMINATION METHOD, PLAYBACK  
OUTPUT CONTROL METHOD, AND RECORDING MEDIA  
Art Unit : 2643

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on January 3, 2002

Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or  
Registered Representative

Signature

January 3, 2002  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated November 7,  
2001 on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

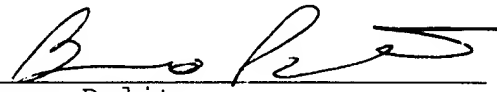
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Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

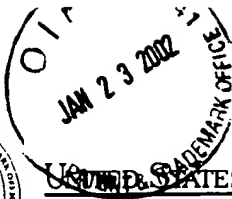
In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
Bruno Polito  
Reg. No. 38,580  
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Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,704	09/28/2001	Tetsujiro Kendo	450100-03501

CONFIRMATION NO. 6412

## FORMALITIES LETTER



\*OC000000007036809\*

William S. Frommer, Esq.  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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